

Q2 | 2021

Experienced Investment Team

Sam Stovall

- Chief Investment Strategist, CFRA

Primary Objective

- Global Low Volatility

Investments

- Global Equities

Number of Holdings

- 5



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# Nervous Nelly Global Equity

To deliver a strategy that encourages nervous individuals to participate in the investment process by allocating among the low-volatility subsets of global equity benchmarks that have historically delivered higher long-term returns with lower fluctuations in annual performances, within the risk parameters of a portfolio heavily weighted toward equity allocations with growth objectives.

## Investment Process



Allocate funds across ETFs

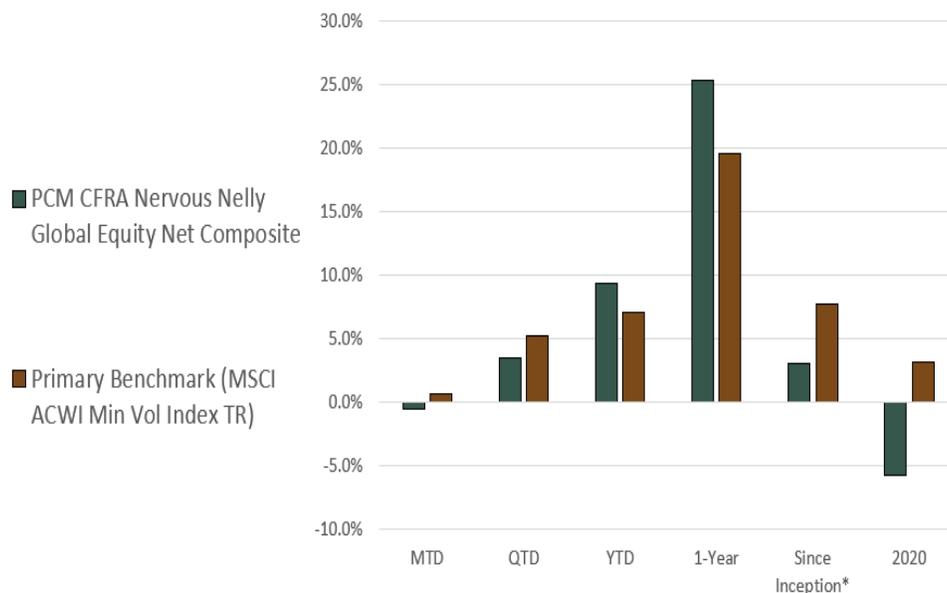
Allocate funds among five ETFs: 40% S&P Low Vol, 20% S&P Mid-Cap Low Vol, 10% S&P Small-Cap Low Vol, 20% S&P Intl Dev Low Vol, 10% S&P EM Low Vol.



Rebalance

Rebalanced quarterly.

## Annualized Returns as of 6/30/2021



	MTD	QTD	YTD	1-Year	Since Inception*	2020
PCM CFRA Nervous Nelly Global Equity Net Composite	-0.5%	3.5%	9.3%	25.4%	3.1%	-5.7%
Primary Benchmark (MSCI ACWI Min Vol Index TR)	0.6%	5.3%	7.1%	19.6%	7.8%	3.1%

Inception date is December 1, 2019. Nothing herein is an offer to sell, or a solicitation of an offer to purchase, any security. Nothing herein is intended as a representation that any investment discussed was or would be profitable to any investor. Nothing herein may be used as a platform or strategy for investing in securities. Peak Capital Management provides investment advice only to its clients pursuant to the terms of a written investment management agreement. Additional information is required to determine the suitability of any investment discussed herein for any investor or portfolio. Returns are reflected as time-weighted return. Returns are calculated net of fees, commissions, and expenses, and reflect the reinvestment of dividends and other earnings. Index benchmark performance does not reflect the deduction of fees because indices are not managed investments. It is not possible to invest directly in an index. All investment involves risk, including risk of loss. Past performance does not assure future results, and achievement of investment objectives, including preservation of principal, cannot be guaranteed. Potential risks associated with the Nervous Nelly Global Equity strategy include, without limitation, market risk, liquidity risk, concentration risk, credit risk, reinvestment risk, and inflation risk.

Guidance and Clarity in an Uncertain World

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# PCM

PEAK CAPITAL MANAGEMENT

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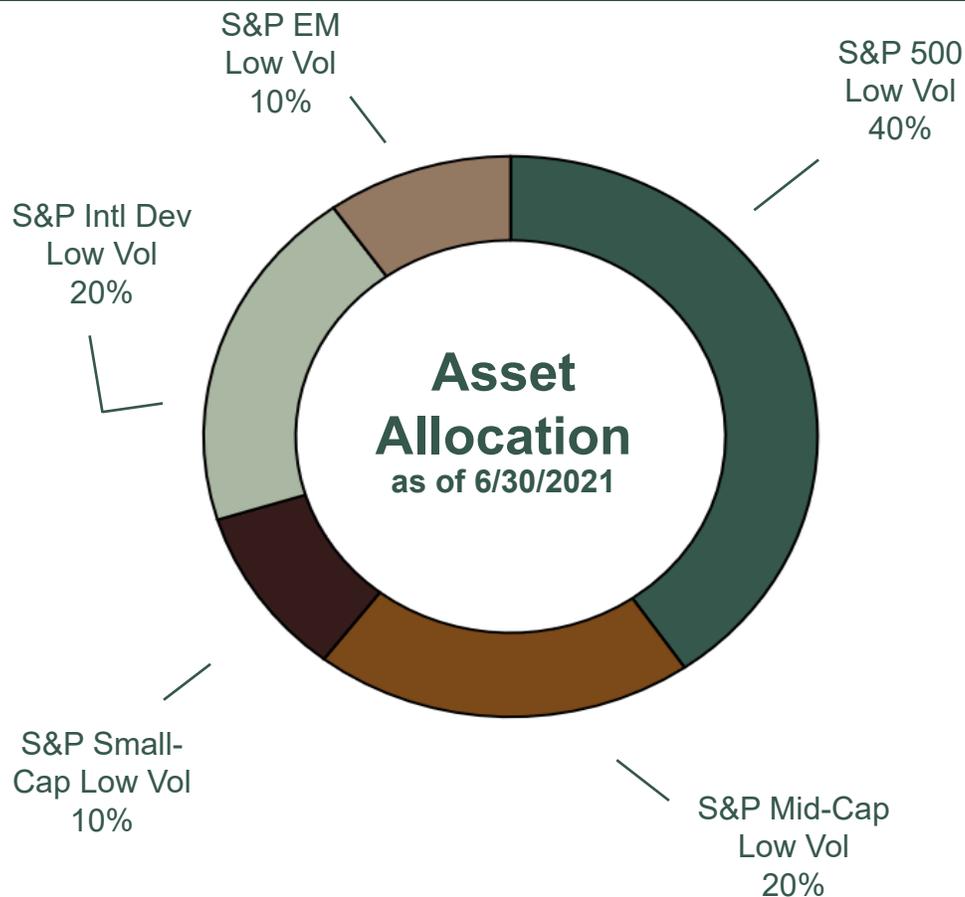
CFRA Research

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All annualized returns and asset allocations are as of 6/30/2021

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## Disclosures

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Nervous Nelly Global Equity Composite Definition: The PCM CFRA Nervous Nelly Global Equity composite represents a strategy that encourages nervous individuals to participate in the investment process by allocating among the low volatility subsets of global equity benchmarks that have historically delivered higher long-term returns with lower fluctuations in annual performances.

The MSCI ACWI Minimum Volatility Index aims to reflect the performance characteristics of a minimum variance strategy applied to large and mid-cap equities across 23 Developed Markets (DM) and 26 Emerging Markets (EM) countries. The index is calculated by optimizing the MSCI ACWI Index, its parents index, in USD for the lowest absolute risk (within a given set of constraints). The index is independently calculated by MSCI.

The U.S. Dollar is the currency used to express performance.

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