

Q1 | 2021

Experienced
Investment
Team

Sam Stovall

- Chief Investment Strategist, CFRA

Primary Objective

- Industry Rotation

Investments

- U.S. Equities

Number of Holdings

- 5

PCM

PEAK CAPITAL MANAGEMENT

Research Driven • Disciplined • Transparent

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Industry ETF Momentum

The Industry Momentum strategy puts the adage of “Let your winners ride” to work by constructing a portfolio consisting of equal weightings to the five S&P 500 sub-industry ETFs with the highest trailing 12-month price returns. This list is reevaluated (and rebalanced) monthly.”

Investment Process



Compute Price Returns

At month-end, compute the 12-month price return of the 20 SPDR S&P 500 Industry ETFs.



Equally Allocate

Equally allocate funds among the five ETFs with the highest 12-month returns.

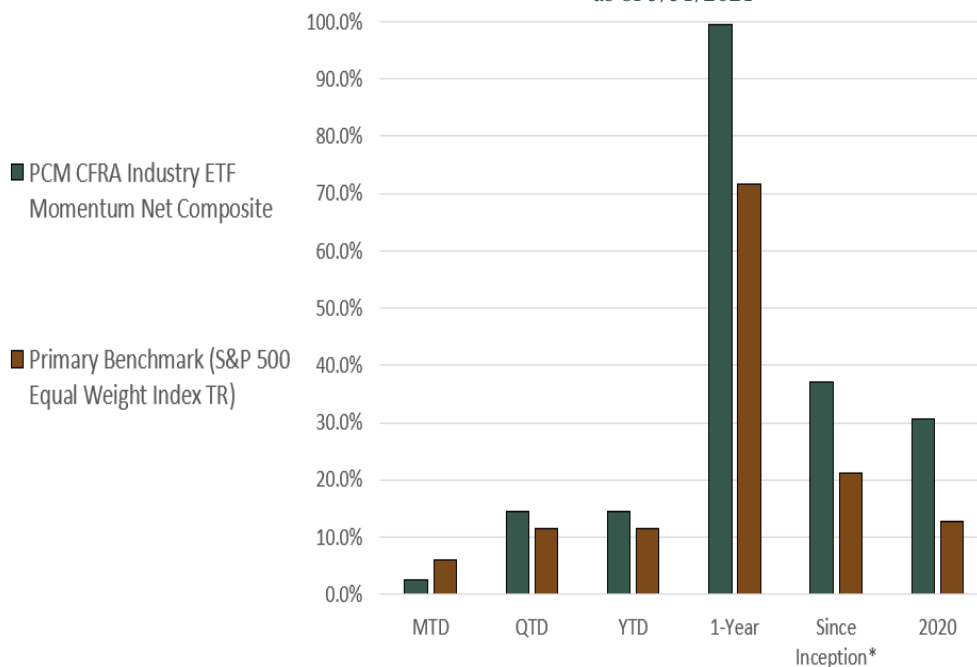


Rebalance

Perform steps 1 & 2 at the end of each month.

Annualized Returns

as of 3/31/2021



	MTD	QTD	YTD	1-Year	Since Inception*	2020
PCM CFRA Industry ETF Momentum Net Composite	2.5%	14.6%	14.6%	99.4%	37.0%	30.7%
Primary Benchmark (S&P 500 Equal Weight Index TR)	6.0%	11.5%	11.5%	71.6%	21.2%	12.8%

*Inception date is December 1, 2019. Nothing herein is an offer to sell, or a solicitation of an offer to purchase, any security. Nothing herein is intended as a representation that any investment discussed was or would be profitable to any investor. Nothing herein may be used as a platform or strategy for investing in securities. Peak Capital Management provides investment advice only to its clients pursuant to the terms of a written investment management agreement. Additional information is required to determine the suitability of any investment discussed herein for any investor or portfolio. Returns are reflected as time-weighted return. Returns are calculated net of fees, commissions, and expenses, and reflect the reinvestment of dividends and other earnings. Index benchmark performance does not reflect the deduction of fees because indices are not managed investments. It is not possible to invest directly in an index. All investment involves risk, including risk of loss. Past performance does not assure future results, and achievement of investment objectives, including preservation of principal, cannot be guaranteed. Potential risks associated with the Industry ETF Momentum strategy include, without limitation, market risk, liquidity risk, concentration risk, credit risk, reinvestment risk, and inflation risk.

Guidance and Clarity in an Uncertain World

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All annualized returns and asset
allocations are as of 3/31/2021

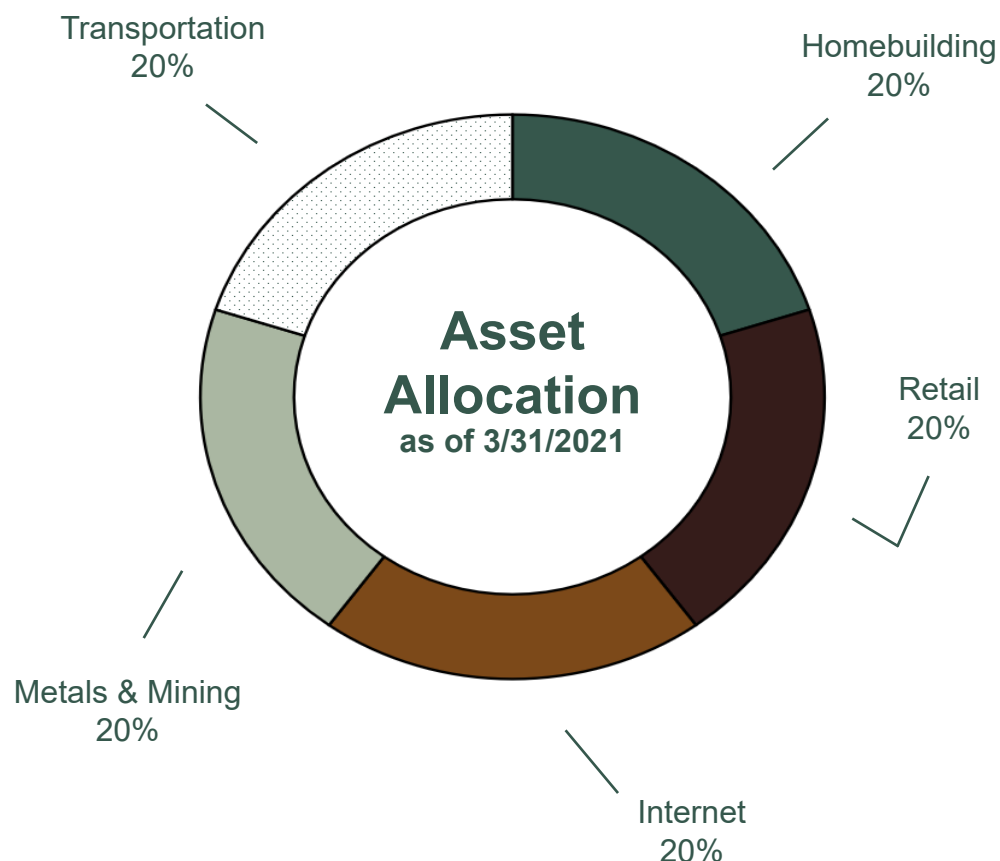
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Industry ETF Momentum

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Disclosures

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PCM CFRA Industry ETF Momentum Composite Description: The Industry Momentum strategy puts the adage of "Let your winners ride" to work by constructing a portfolio consisting of equal weightings to the five S&P 500 sub-industry ETFs with the highest trailing 12-month price returns.

The S&P 500® Equal Weight Index (EWI) is the equal-weight version of the widely-used S&P 500. The index includes the same constituents as the capitalization weighted S&P 500, but each company in the S&P 500 EWI is allocated a fixed weight - or 0.2% of the index total at each quarterly rebalance.

The U.S. Dollar is the currency used to express performance.

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