

Q3 | 2020

Experienced
Investment
Team

Sam Stovall

- Chief Investment Strategist, CFRA

Primary Objective

- Global Low Volatility

Investments

- Global Equities

Number of Holdings

• 5

PCM

PEAK CAPITAL MANAGEMENT

Research Driven • Disciplined • Transparent

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Nervous Nelly Global Equity

To deliver a strategy that encourages nervous individuals to participate in the investment process by allocating among the low-volatility subsets of global equity benchmarks that have historically delivered higher long-term returns with lower fluctuations in annual performances.

Investment Process



Allocate funds across
ETFs

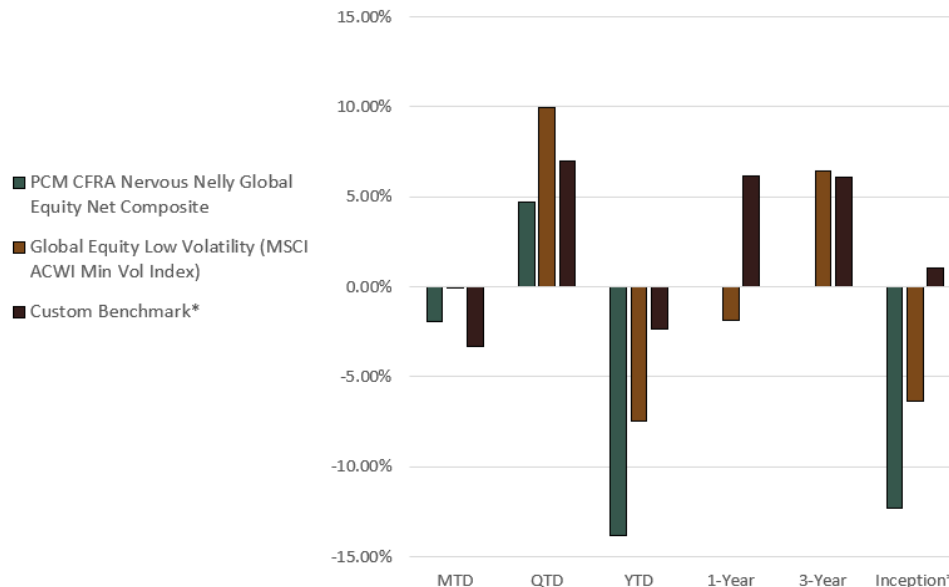
Allocate funds among five ETFs:
40% S&P Low Vol, 20% S&P Mid-Cap Low Vol, 10% S&P Small-Cap Low Vol, 20% S&P Intl Dev Low Vol, 10% S&P EM Low Vol.



Rebalance

Rebalanced quarterly.

Annualized Returns as of 09/30/2020



	MTD	QTD	YTD	1-Year	3-Year	Inception*
PCM CFRA Nervous Nelly Global Equity Net Composite	-1.96%	4.74%	-13.85%	N/A	N/A	-12.29%
Global Equity Low Volatility (MSCI ACWI Min Vol Index)	-0.05%	9.94%	-7.50%	-1.88%	6.40%	-6.35%
Custom Benchmark*	-3.30%	7.00%	-2.34%	6.18%	6.08%	1.06%

*Custom Benchmark: 40% S&P 500 TR, 20% S&P MC400 TR, 10% S&P SC600 TR, 20% S&P Developed ex-US BMI TR, and 10% S&P Emerging BMI TR
*Inception as of 12/1/2019

Returns are reflected as total return. Returns are calculated net of fees, commissions, and expenses, and reflect the reinvestment of dividends. Index benchmark performance does not reflect the deduction of fees because indices are not managed investments. It is not possible to invest directly in an index. All investment involves risk, including risk of loss. Past performance does not assure future results, and achievement of investment objectives, including preservation of principal, cannot be guaranteed. Potential risks associated with the Nervous Nelly Global Equity strategy include, without limitation, market risk, liquidity risk, concentration risk, credit risk, reinvestment risk, and inflation risk.

Guidance and Clarity in an Uncertain World

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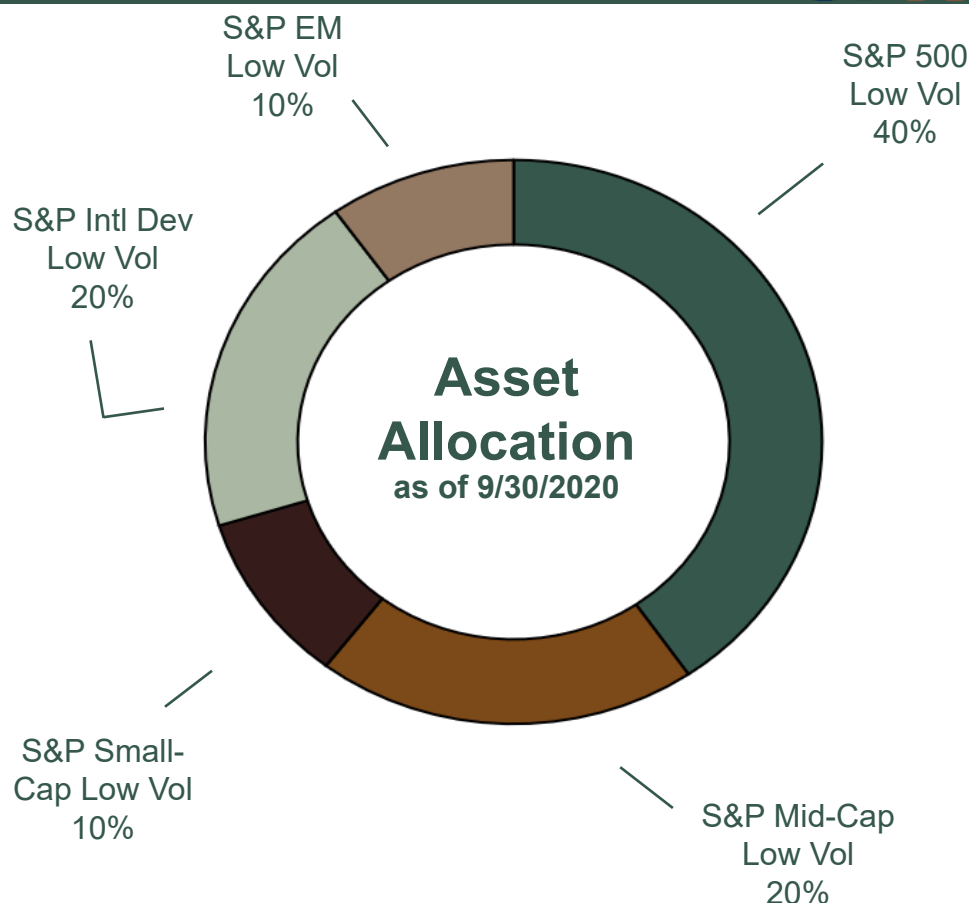
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Disclosures

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The MSCI ACWI Minimum Volatility Index which aims to reflect the performance characteristics of a minimum variance strategy applied to large and mid-cap equities across 23 Developed Markets (DM) and 26 Emerging Markets (EM) countries. The index is calculated by optimizing the MSCI ACWI Index, its parents index, in USD for the lowest absolute risk (within a given set of constraints). The index is independently calculated by MSCI.

The S&P 500® is widely regarded as the best single gauge of large-cap U.S. equities. There is over USD 11.2 trillion indexed or benchmarked to the index, with indexed assets comprising approximately USD 4.6 trillion of this total. The index includes 500 leading companies and covers approximately 80% of available market capitalization.

The S&P MidCap 400® provides investors with a benchmark for mid-sized companies. The index, which is distinct from the large-cap S&P 500®, is designed to measure the performance of 400 mid-sized companies, reflecting the distinctive risk and return characteristics of this market segment.

The S&P SmallCap 600® seeks to measure the small-cap segment of the U.S. equity market. The index is designed to track companies that meet specific inclusion criteria to ensure that they are liquid and financially viable.

A member of the S&P Global BMI series, the S&P Developed Ex-U.S. BMI is a comprehensive benchmark including stocks from developed markets excluding the United States.

The S&P Emerging BMI captures all companies domiciled in the emerging markets within the S&P Global BMI with a float-adjusted market capitalization of at least USD 100 million meeting 6- and 12-month median value traded requirements. The index is segmented by country/region, size (large, mid and small), style (value and growth), and GICS (sectors/industry groups).

The U.S. Dollar is the currency used to express performance.

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